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(Modified) PTO/SB/21 (6-98)  
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# TRANSMITTAL FORM

Use for all correspondence after initial filing)

Application Number	10/092,640
Filing Date	March 4, 2002
First Named Inventor	Marks, James D.
Group Art Unit	1642
Examiner Name	Bansal, G.
Attorney Docket Number	407T-897221US

Total Number of Pages in This Submission

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## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter

☒ Additional Enclosure(s) (please identify below):

receipt acknowledgment  
postcard  
Request for Corrected Filing  
Receipt

### Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Tom Hunter, Reg. No. 38,498, Quine Intellectual Property Law Group P.C.

Signature

Date

April 19, 2002

## CERTIFICATE OF MAILING

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Chianti Appling

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Attorney Docket No. 407T-897221US  
Client Ref. 95-276-4

on April 19, 2002  
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By [Signature]  
Chianti Appling

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Marks, James D., et al.

Examiner: Bansal, G.

Application No.: 10/092,640

Art Unit: 1642

Filed: March 4, 2002

REQUEST FOR CORRECTED FILING  
RECEIPT

For: NOVEL HIGH AFFINITY HUMAN  
ANTIBODIES TO TUMOR ANTIGENS

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the applicant's information should read as follows:

--James D. Marks--

--Robert Schier--

Marks, James D., et al.  
Application No.: 10/092,640  
Page 2

The correction is due to an error by the applicant and the fee therefor, pursuant to 37 CFR § 1.19(h), of \$25.00 is to be charged to Deposit Account No 50-0893. Please charge any additional fee or credit overpayment to the Deposit Account No. 50-0893. This petition is submitted in duplicate.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Tom Hunter".

Tom Hunter, J.D., Ph.D.  
Reg. No. 38,498

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 2023  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/092,640	03/05/2002	1642	589	407T- 897221US	5	21	8

CONFIRMATION NO. 1369

22798  
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\*OC000000007805666\*

APR 09 2002

Quine Intellectual Property Law Group, P.C.

Date Mailed: 04/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**Marks D. James, Kensington, CA;  
Schier Robert, San Francisco, CA;**Assignment For Published Patent Application**

The Regents of the University of California Office of Technology Transfer,

**Domestic Priority data as claimed by applicant**THIS APPLICATION IS A DIV OF 09/315,574 05/20/1999  
WHICH CLAIMS BENEFIT OF 60/000,238 06/14/1995  
AND CLAIMS BENEFIT OF 60/000,250 06/15/1995**Foreign Applications**

If Required, Foreign Filing License Granted 04/05/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Novel high affinity human antibodies to tumor antigens

**Preliminary Class**

530

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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